

# LECTURE II:

## : ORIGIN OF THE SEESAW SCALE AND IMPLICATIONS FOR UNIFICATION

# Why Seesaw is theoretically so appealing?

☞ Adding  $N_R$  to std model makes fermion spectrum quark-lepton symmetric.

☞ Makes the spectrum also left-right symmetric:  
under **Parity**

$$\begin{pmatrix} u_L \\ d_L \end{pmatrix} \leftrightarrow \begin{pmatrix} u_R \\ d_R \end{pmatrix}; \quad \begin{pmatrix} \nu_L \\ e_L \end{pmatrix} \leftrightarrow \begin{pmatrix} N_R \\ e_R \end{pmatrix};$$

# Expanding of weak gauge symmetry

☞ **Standard model:**  $\partial^\mu J_\mu = 0$   
**but**  $\text{Tr}(B - L)$  **and**  $\text{Tr}(B - L)^3$  **both**  $\neq 0$ ;

**Add RH neutrino to SM and both  $\text{Tr}(B - L)$  and  $\text{Tr}(B - L)^3$  both  $= 0$ ;**

**This means  $(B - L)$  is a gauge symmetry !!**

**and the electroweak gauge group expands to  $SU(2)_L \times SU(2)_R \times U(1)_{B-L}$ ; i.e. the left-right symmetric model of weak interactions.**

# Some details of left-right symmetric models:

## ☞ Details

➤ Gauge group  $SU(3)_c \times SU(2)_L \times SU(2)_R \times U(1)_{B-L}$

➤ Matter:  $SU(2)_L$  Doublets:  $Q_L \equiv \begin{pmatrix} u_L \\ d_L \end{pmatrix}; \psi_L \equiv \begin{pmatrix} \nu_L \\ e_L \end{pmatrix};$

$SU(2)_R$  doublets:  $Q_R \equiv \begin{pmatrix} u_R \\ d_R \end{pmatrix}; \psi_R \equiv \begin{pmatrix} \nu_R \\ e_R \end{pmatrix}$

Higgs:  $\phi(2, 2, 0) \equiv \begin{pmatrix} \phi_1^0 & \phi_2^+ \\ \phi_1^- & \phi_2^0 \end{pmatrix};$

$\Delta_R(1, 3, +2) \equiv \begin{pmatrix} \Delta^+/\sqrt{2} & \Delta^{++} \\ \Delta^0 & -\Delta^+/\sqrt{2} \end{pmatrix}$

➤  $\mathcal{L}_Y = h_u \bar{Q}_L \phi Q_R + h_d \bar{Q}_L \tilde{\phi} Q_R + h_e \bar{\psi}_L \tilde{\phi} \psi_R + h.c.$   
 $+ f(\psi_R \psi_R \Delta_R + L \leftrightarrow R)$

# Fermion masses

## ☞ Masses arise from symmetry breaking

➤  $\langle \phi^0 \rangle = \begin{pmatrix} \kappa & 0 \\ 0 & \kappa' \end{pmatrix}$  and  $\langle \Delta_R^0 \rangle = v_R$

➤  $\langle \phi \rangle$  gives masses to quarks and charged leptons only

➤  $m_\nu \neq 0$  arises from the seesaw matrix (coming up).

# Features of left-right symmetric models

## ☞ other features

1. weak interactions become parity conserving

$$\mathcal{L}_{wk} = \frac{g}{2\sqrt{2}}(\vec{W}_{\mu,L} \cdot \vec{J}_L^\mu + \vec{W}_{\mu,R} \cdot \vec{J}_R^\mu)$$

2. Electric charge:  $Q = I_{3L} + I_{3R} + \frac{B-L}{2}$   
Involves all physical quantum numbers

3. This generalization of Gell-Mann-Nishijima formula to weak interactions implies that:

$\Delta I_{3R} = -\frac{B-L}{2}$  i.e. Neutrino is a Majorana mass purely because of group theory and its mass is linked to parity violation !!

R. N. M., Pati; Senjanovic, (1974-75)

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# Neutrino mass linked to parity violation

## Questions for Left-right models

1. Why are low energy weak int. V-A ?
2. Why  $m_\nu \ll m_{u,d,e}$  ?
3. How high is the Parity breaking scale ?
4. How to experimentally test the idea ?

## Both questions have the same answer:

### ☞ BREAK PARITY AT SCALE MUCH ABOVE THE $W_L$ MASS

$$\textcolor{red}{\triangleright} \textcolor{red}{SU(2)_L \times SU(2)_R \times U(1)_{B-L} \rightarrow G_{std} \rightarrow U(1)_{em}}$$

$$\textcolor{red}{M_{\nu,N} = \begin{pmatrix} 0 & 0 \\ 0 & M_R \end{pmatrix} \rightarrow \begin{pmatrix} f v_L & h_\nu v \\ h_\nu^T v & f v_R \end{pmatrix} \text{ (type II SEESAW)}}$$

$$\textcolor{blue}{\triangleright} \text{ As before, } m_\nu \simeq f v_L - \frac{h_\nu^2 v^2}{f v_R}; \left( v_L \sim \frac{v_{wk}^2}{v_R} \right)$$

Strength of V+A currents  $\propto \frac{1}{v_R^2}$ ;  
as the scale of parity violation  $v_R \rightarrow \infty$ ,  $m_\nu \rightarrow 0$ ;

$\textcolor{red}{\triangleright}$  **SMALLNESS OF  $m_\nu$  CONNECTED TO THE SUPPRESSION OF V+A currents.**

$\textcolor{blue}{\triangleright}$  **Existence of B-L symmetry and left-right symmetry is the first thing we learn from  $\nu$ -mass discovery !**



# Implication of Parity for seesaw

☞ **New contribution to seesaw :**

$$m_\nu \simeq f \frac{v_{wk}^2}{v_R} - \frac{h_\nu^2 v_{wk}^2}{f v_R}; \text{ (Type II seesaw)}$$

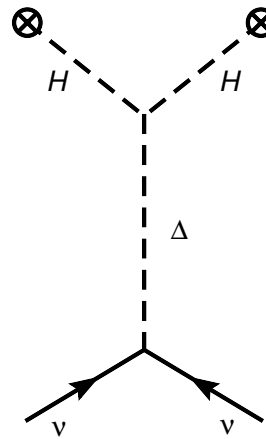


Figure 11: Feynman diagram for type II seesaw

**Parity  $\rightarrow$  Type II seesaw**

## TeV seesaw scale in LR models

☞ The type I seesaw formula is given by:

$$\mathcal{M}_\nu = -M_D^T M_R^{-1} M_D \sim -\frac{h_\nu^2 v_{wk}^2}{f v_R}$$

**Expt:**  $m_{\nu_3} \sim 0.05$  eV; if  $v_R \sim$  TeV, means  $h_\nu \sim 10^{-6}$ ;  
**(Compare this with electron Yukawa coupling in the standard model ( $= 3 \times 10^{-6}$ )- not very different !!)**

It is quite OK to have TeV scale seesaw.

**New  $W_R$  and  $Z'$  bosons in the TeV range; can be explored at LHC (see later)**

# : NEUTRINO MASS AND GRAND UNIFICATION

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# Basic idea of grand unification

Pati,Salam; Georgi, Glashow; Georgi, Quinn, Weinberg



- All forces unify at some high scale;
- All matter i.e. quarks and leptons unify at that scale:
- A new symmetry (local) symmetry of physics that embodies the SM symmetry emerges at that scale and above.

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# GRAND UNIFICATION

## Why Grand unify ?

- Unification of quarks and leptons provides hope for understanding lepton masses in terms of quark masses- a first step towards solving the flavor puzzle ?
- It explains electric charge quantization.

# Standard model does not grand unify !

☞ Gauge coupling running is determined by the low energy theory.

For SM, the equations are:

$$\frac{d\alpha_i^{-1}}{dt} = \frac{b_i}{2\pi}$$

with  $b_1 = -\frac{4}{3}N_g - \frac{1}{3}T_H$ ;  $b_{2,3} = \frac{11N}{3} - \frac{4}{3}N_g - \frac{1}{3}T_H$  with  $N = 2, 3$

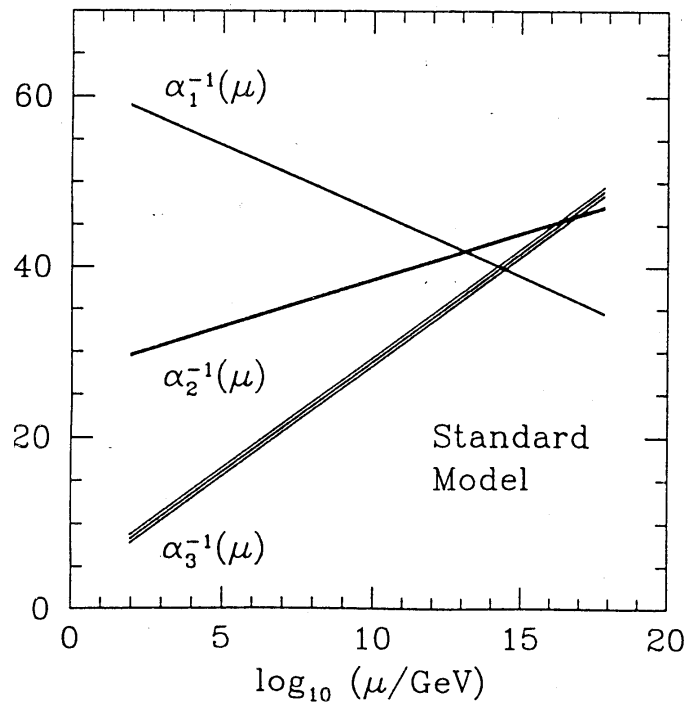


Figure 12: Coupling unification in supersymmetric theories

**Note that  $SU(2)$  and  $SU(3)$  couplings meet at  $10^{16}$  GeV but not  $U(1)$ ; Supersymmetry cures that.**

## Example of grand unification

☞ **SUSY at TeV scale and no new physics until GUT scale;**

$b_1 = -\frac{33}{5}$ ;  $b_{2,3} = (3N - 2N_g - T_H)$ ; **all couplings meet nicely.**

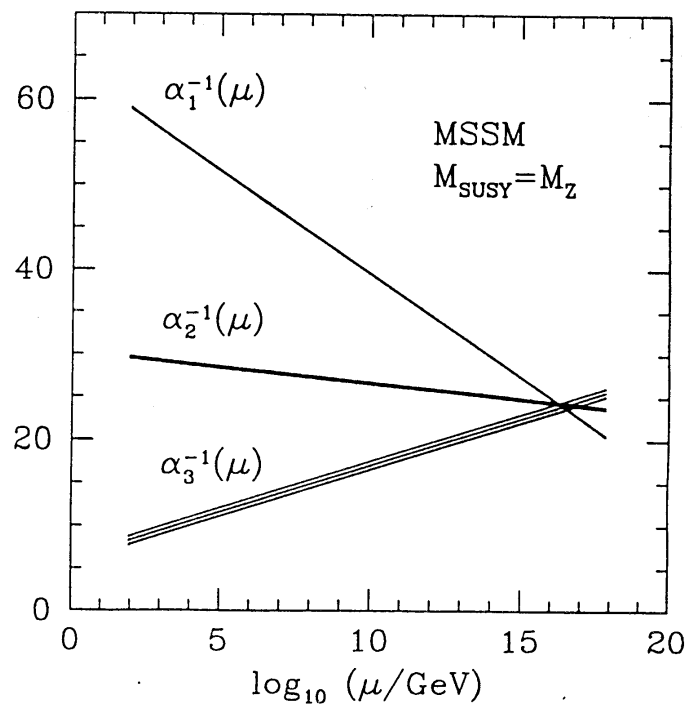


Figure 13: Coupling unification in supersymmetric theories

$M_U \simeq 2 \times 10^{16}$  **GeV;**

# No SUSY but simple GUT

☞ No SUSY and no new physics till SEESAW scale and the LR Symmetry till GUT

**(B)**  $G_{STD} \rightarrow SU(2)_L \times SU(2)_R \times U(1)_{B-L} \times SU(3)_c \rightarrow$   
**(with no supersymmetry) and  $M_{B-L} \sim 10^{14}$  GeV (the seesaw scale).**

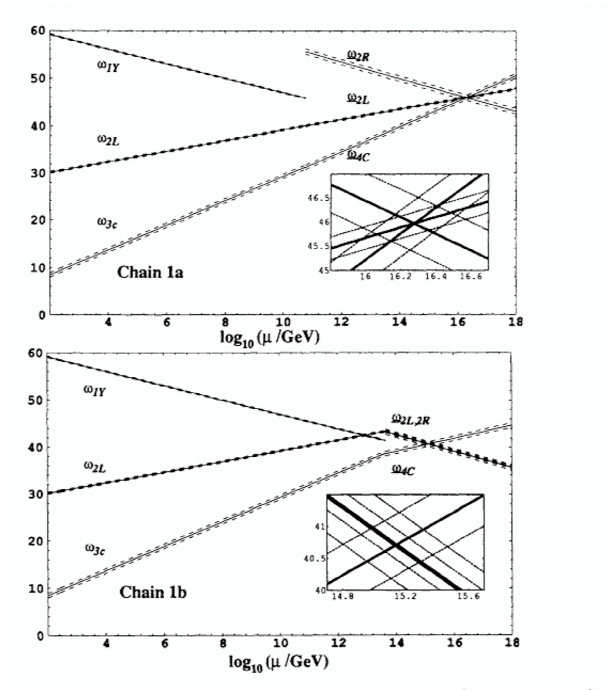


Figure 14: Coupling unification in non-supersymmetric left-right theories with int. seesaw scale

**Requirement of coupling unification predicts seesaw scale around  $10^9$ - $10^{13}$  GeV**



# Proton decay: Key test of grand unification

☞ **Example of simple SU(5) model of Georgi and Glashow:**

$$\text{Fermions: } \bar{\mathbf{5}} = \begin{pmatrix} d^c \\ d^c \\ d^c \\ \nu \\ e^- \end{pmatrix} \text{ and } \mathbf{10} = \begin{pmatrix} 0 & u_3^c & -u_2^c & u_1 & d_1 \\ & 0 & u_1^c & u_2 & u_3 \\ & & 0 & u_3 & d_3 \\ & & & & e^+ \\ & & & & 0 \end{pmatrix}$$

Quarks and leptons in the same multiplet and therefore gauge bosons connect quarks and leptons as well as quarks to anti-quarks leading to proton decay.

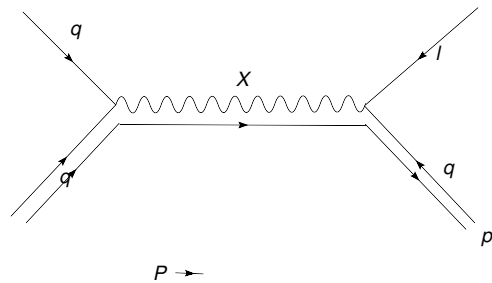


Figure 15: Proton decay in GUT theories- Gauge boson exchange

☞ : **Present in all simple GUT theories: fairly model independent except for the overall unification scale.**

$$A(p \rightarrow e^+ \pi^0) \sim \frac{g_U^2}{M_U^2}$$

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**Prediction:**  $\tau_{p \rightarrow e^+ \pi^0} \sim 10^{36 \pm 1} \left( \frac{M_U}{2 \times 10^{16} \text{ GeV}} \right)^4 \text{ yrs}$

**Present lower limit:**  $\tau_{p \rightarrow e^+ \pi^0} \geq 5 \times 10^{33} \text{ yrs.}$

# Some of Super-K, IMB3, Soudan2 data on other proton decay modes



mode	Lower limit in $10^{32}$ yrs
$p \rightarrow e^+ + \pi^0$	<b>50</b>
$p \rightarrow \bar{\nu} K^+$	<b>23</b>
$n \rightarrow \bar{\nu} + K^0$	<b>1.3</b>
$p \rightarrow \mu^+ + K^0$	<b>13</b>
$p \rightarrow e^+ + K^0$	<b>10</b>
$p \rightarrow \mu^+ \pi^0$	<b>43</b>
$p \rightarrow \gamma e^+$	<b>98</b>
$p \rightarrow \gamma \mu^+$	<b>82</b>
$n \rightarrow e^+ \pi^-$	<b>1</b>

# SUSY SU(5) model

## ☞ The simplest GUT model (circa 1980s)

➤ Fermions:  $\bar{\mathbf{5}} = \begin{pmatrix} d^c \\ d^c \\ d^c \\ \nu \\ e^- \end{pmatrix}$  and  $\mathbf{10} = \begin{pmatrix} 0 & u_3^c & -u_2^c & u_1 & d_1 \\ & 0 & u_1^c & u_2 & u_3 \\ & & 0 & u_3 & d_3 \\ & & & & e^+ \\ & & & & 0 \end{pmatrix}$

➤ : Higgs  $\mathbf{5} \oplus \bar{\mathbf{5}} \oplus \mathbf{24}$ .

➤ Predicts: at  $M_U$ ,  $m_b = m_\tau$ ; very good prediction

Also predicts  $m_s = m_\mu$ ;  $m_d = m_e$ ; **VERY BAD PREDICTION!!**

➤ No explanation of neutrino mass:

➤ Proton decay problem:

## Proton decay in SUSY SU(5)

☞ **New graphs contribute to proton decay in GUT theories due to the existence of superpartners**

**Non-susy theories: P-decay operator:  $QQQL/M_U^2$**   
**whereas SUSY theories, it is  $QQ\tilde{Q}\tilde{L}_s/M_U$ ;**  
**Note the change in power dependence !!**

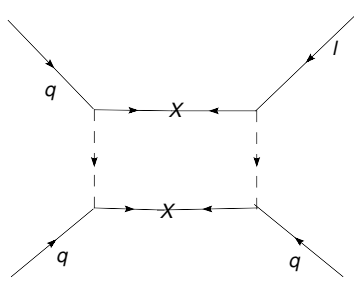


Figure 16: Dominant Diagram for proton decay in supersymmetric GUT theories

☞ **Decay mode  $p \rightarrow \bar{\nu} M^+$ ;**

**Life time:  $(\tau_P)^{-1} \simeq [\frac{f^2}{M_U M_S}]^2 (\frac{\alpha}{4\pi})^2 m_p^5$  Implies**

**$\tau_{p \rightarrow K^+ \bar{\nu}} \leq (10^{32})^{-1}$  yrs**

**Expt:  $\tau_{p \rightarrow K^+ \bar{\nu}} \geq 3 \times 10^{33}$  yrs.**

**Possible to cure it by giving up predictivity !!**

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# $m_\nu$ and Grand unification



$M_U \simeq 2 \times 10^{16}$  **GeV; not far from**  
 $M_{seesaw} \sim 2 \times 10^{14}$  **GeV**

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$$M_R \simeq M_U$$



- raises the hope that seesaw scale and GUT scale are same;
- Perhaps neutrino masses and mixings can be predicted due to higher symmetry of GUT theories which will reduce number of free parameters;

# SO(10) SUSY GUT and neutrinos

Georgi; Fritzsche and Minkowski, 75

## ☞ unification of all 16 fermions of one generation

➤  $\begin{pmatrix} u & u & u & \nu \\ d & d & d & e \end{pmatrix}_{L,R}$  into **16** dim. rep of SO(10)

- Contains the  $N_R$  needed for seesaw automatically
- Contains the B-L subgroup which broken appropriately, gives R-parity as a natural symmetry and hence a stable dark matter
- None of these properties hold for SU(5)



## Some useful group theory for SO(10)

☞ **SO(10) is almost like Lorentz group which is SO(3,1);**

**Just like Lorentz group has spinor representation which is 4-dimensional and splits into two chiral 2-comp representations, SO(10) has a spinor rep which is 16-dim.**


**The general formula for the dim of of spinor rep of SO(2N) is  $2^{N-1}$  dimensional.**

**Like there are Lorentz vectors and tensors, SO(10) has vector and tensor reps:**

**$H_\mu = 10$ ;  $S_{\mu\nu} = 54$  dim (sym);  $A_{\mu\nu} = 45$  (anti-sym.);  $\Sigma_{\mu\nu\lambda} = 120$  (anti-sym);  $\Delta_{\mu\nu\lambda\sigma\tau} = 126$  etc.**

**$16 \otimes 16 = 10 \oplus 120 \oplus 126$  helps to write Yukawa couplings that are SO(10) invariant.**

# Spinor of SO(10) for fermions of SM



$$\begin{pmatrix} u \\ u \\ u \\ d \\ d \\ d \\ \nu \\ e \\ u^c \\ u^c \\ u^c \\ d^c \\ d^c \\ d^c \\ \nu^c \\ e^c \end{pmatrix}$$

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# Breaking $SO(10)$ down



- (i)  $SO(10) \rightarrow SU(5) \rightarrow \text{std model}$  or
- (ii)  $SO(10) \rightarrow SU(2)_L \times SU(2)_R \times SU(4)_c \rightarrow \text{std model}$
- In either case one must break B-L symmetry

# Predictions from one SO(10) model



➤ Use only **126** to break B-L

➤ Yukawa coupling

$$\mathcal{L}_Y = h_{ab}\psi_a\psi_b H + f_{ab}\psi_a\psi_b\bar{\Delta}$$

➤ two pairs of Higgs doublets: one  $(H_u, H_d)$  from  $H$  and another from  $\bar{\Delta}$

➤ Counting of parameters- 3 from  $h$ , 6 from  $f$  plus 4 vevs minus  $M_Z \rightarrow$  total of 12 parameters; **vrs**

10 (quarks)+3 (e,  $\mu + \tau$ ) + 18 for seesaw in the standard model (total of 31)

# Predicting neutrino masses and mixings in minimal SO(10) with 126

## ☞ CP conserving case as an example

- Input: masses of  $(e, \mu, \tau)$ , six quarks plus three CKM angles;
- All parameters of the fermion sector are determined; hence all (but one) neutrino masses and mixing angles predicted



- Equations for fermion mass matrices

$$M_u = h \langle H_u \rangle + f \langle \Delta_u \rangle$$

$$M_d = h \langle H_d \rangle + f \langle \Delta_d \rangle$$

$$M_e = h \langle H_d \rangle - 3f \langle \Delta_d \rangle$$

$$M_{\nu D} = h \langle H_u \rangle - 3f \langle \Delta_u \rangle$$

- It follows that

$$f = \frac{1}{4\langle \Delta_d \rangle} (M_d - M_\ell)$$

(Relation valid at GUT scale)

# Large mixing from type II seesaw

## ☞ Triplet vev dominance in seesaw

- Seesaw formula in SO(10)

$$\mathcal{M}_\nu \simeq f \frac{v_{wk}^2}{v_R} - M_{\nu D} f v_R^{-1} M_{\nu D}; \text{ (Type II seesaw)}$$

- Suppose the first term dominates  $\rightarrow$  (A)

$$\mathcal{M}_\nu \simeq \frac{v_{wk}^2}{4v_R \langle \Delta_d \rangle} (M_d - M_\ell) \equiv c(M_d - M_\ell)$$

$$c \sim 10^{-10}$$

- (B):  $M_\ell = c_u M_u + c_d M_d$ ; This means  $U_\ell \sim 1 + O(\lambda)$  and  $U_\nu$  determines  $U_{PMNS}$  !!.

# What is $U_\nu$

☞  $b - \tau$  mass convergence and large  $\theta_A$

➤  $\mathcal{M}_\nu = c(M_d - M_\ell);$

But  $\mathcal{M}_{\ell,d} = m_{\tau,b} \begin{pmatrix} d\epsilon^4 & a\epsilon^3 & b\epsilon^3 \\ a\epsilon^3 & \epsilon^2 & \epsilon^2 \\ b\epsilon^3 & \epsilon^2 & 1 \end{pmatrix}$  where  $\epsilon \sim \lambda \simeq 0.22;$

➤  $\mathcal{M}_\nu = m_b \begin{pmatrix} \lambda^4 & \lambda^4 & \lambda^3 \\ \lambda^4 & \lambda^2 & \lambda^2 \\ \lambda^3 & \lambda^2 & (1 - m_\tau/m_b) \end{pmatrix}$

➤ So the question now is what is  $\frac{m_\tau}{m_b}$  at GUT scale ?

## $b - \tau$ masses change with scale

☞ At  $M_Z$ ,  $m_b \sim 1.7m_\tau$ ;

but all Coupling constants run with energy- so this ratio changes.

define  $Y_a = \frac{h_a^2}{4\pi}$ ;  $t = \ln \mu$

Then  $\frac{d \ln Y_b}{dt} = 6Y_b + Y_t - \frac{7}{15}\alpha_1 - \frac{16}{3}\alpha_3 - 3\alpha_2$

$\frac{d \ln Y_\tau}{dt} = 4Y_\tau - \frac{9}{15}\alpha_1 - 3\alpha_2$

Since  $\frac{16}{3}\alpha_3$  dominates, it pulls b-quark mass down very fast at high energies;

$\frac{m_b}{m_\tau}(M_U) = \frac{m_b}{m_\tau}(M_Z)[2.5A_t^{-1/2}]^{-1}$ ; for  $h_t \simeq 1$ ,  $A_t^{-1/2} \sim 0.8$ ;

So  $m_b$  gets closer to  $m_\tau$  and in supersymmetry, there is a parameter  $\tan \beta$  and as this changes, the  $b_\tau$  unification works even better e.g.  $\tan \beta \sim 10$ .



# $b - \tau$ mass convergence and large neutrino mixing

☞ **For**  $m_b \sim m_\tau(1 + O(\lambda^2))$

$$\text{➤ } \mathcal{M}_\nu = m_b c \begin{pmatrix} \lambda^4 & \lambda^4 & \lambda^3 \\ \lambda^4 & \lambda^2 & \lambda^2 \\ \lambda^3 & \lambda^2 & \lambda^2 \end{pmatrix} = m_b c \lambda^2 \begin{pmatrix} \lambda^2 & \lambda^2 & \lambda \\ \lambda^2 & 1 + \lambda & 1 \\ \lambda & 1 & 1 \end{pmatrix}$$

➤ Previous discussion of mass matrix for normal hierarchy  
→ both atmospheric and solar mixings large

➤ Furthermore,  $\frac{\Delta m_{\odot}^2}{\Delta m_A^2} \sim \lambda^2 \gg (m_\mu/m_\tau)^2$  as required by data

$$\theta_{13} \simeq \frac{V_{ub}}{1 - m_\tau/m_b} \simeq \lambda$$

(close to the present upper limit)

# Other related recent papers with 126 Higgs

Fukuyama and Okada, (2002); B. Bajc, Alejandra Melfo, Goran Senjanovic, Francesco Vissani, hep-ph/0402122;  
 H. S. Goh, R. N. M., S. Nasri and S. P. Ng, PLB(2004);  
 T. Fukuyama, A. Ilakovac, T. Kikuchi, S. Meljanac and N. Okada, arXiv:hep-ph/0401213;  
 C. S. Aulakh and A. Giridhar, hep-ph/0204097; Goh, RNM, Nasri, 2004  
 S. Bertolini, M. Frigerio and M. Malinsky, arXiv:hep-ph/0406117;  
 Wei-Min Yang and Zhi-Gang Wang, hep-ph/0406221  
 Dutta, Mimura, RNM, hep-ph/0406262;  
 : Case (i) Goh, RNM, Ng; Babu, Macesanu; Bertolini and Malinsky, Schwetz;

SO(10) with extra symmetries: Chen, Mahanthappa (2000); Medeiro Verzilas, King and Ross (2006);..